



Please type a plus sign (+) inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002, OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/21 (08-00)

GAU 2614
#2 8-17-01
RECEIVED
AUG 16 2001
Technology Center 2600

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/834,427, Confirmation No. 5914
	Filing Date	April 13, 2001
	First Named Inventor	Peter Bixby
	Group Art Unit	2614
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	10830.0071.NPUS00

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Form PTO-1449
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	(with copies of cited references)
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Richard C. Auchterlonie, Esq. Howrey Simon Arnold & White, LLP Reg. 30,607
Signature	<i>Richard C. Auchterlonie</i>
Date	6 Aug. 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 6 Aug 2001	
Typed or printed name	Richard C. Auchterlonie, Reg. No. 30,607
Signature	<i>Richard C. Auchterlonie</i> Date 6 Aug 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Form PTO-1449 (modified)

Atty. Docket No.
10830.0071.NPUS00Serial No.
09/834,427

List of Patents and Publications for Applicant's

Applicant
Peter Bixby, et al.

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:
April 13, 2001Group:
2614U.S. Patent Documents
See Page 1Other Art
See Pages 1-5

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,959,690	09/28/1999	Toebe, VIII et al.	348	578	02/19/1997
	A2	5,892,915	04/06/1999	Duso et al.	395	200.49	05/05/1997
	A3	5,859,660	01/12/1999	Perkins et al.	348	9	02/29/1996
	A4	5,838,678	11/17/1998	Davis et al.	370	389	07/24/1996
	A5	5,675,384	10/07/1997	Ramamurthy et al.	348	405	10/03/1995
	A6	5,534,944	07/09/1996	Egawa et al.	348	584	07/21/1995
	A7	5,231,484	07/27/1993	Gonzales et al.	358	133	11/08/1991
	A8	5,969,650	10/19/1999	Wilson	341	67	01/16/1998
	A9	5,793,897	08/11/1998	Jo et al.	382	246	12/16/1994
	A10	5,694,170	12/02/1997	Tiwari et al.	348	390	04/06/1995
	A11	5,565,998	10/15/1996	Coombs et al.	386	46	02/22/1994
	A12	5,381,144	01/10/1995	Wilson et al.	341	63	10/25/1993

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Y. Nakajima, H. Hori, and T. Kanoh, "Rate Conversion of MPEG Coded Video by Re-quantization Process," IEEE Proc. of ICIP-95, vol. III, Sept. 1995, pp. 408-411
	C2	A.T. Erdem and M.I. Sezan, "Multi-generation Characteristics of the MPEG Video Compression Standards," IEEE Proc. of ICIP-94, vol. II, 1994, pp. 933-937
	C3	M. Perreira, and A. Lippman, "Re-codable video," IEEE Proc. of ICIP-94, vol. II, 1994, Pp. 952-956

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)

Atty. Docket No.
10830.0071.NPUS00Serial No.
09/834,427

List of Patents and Publications for Applicant's

Applicant
Peter Bixby, et al.

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:
April 13, 2001Group:
2614U.S. Patent Documents
See Page 1Other Art
See Pages 1-5

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

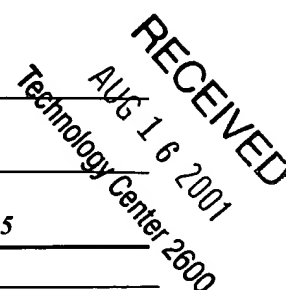
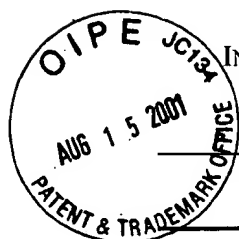
Exam. Init.	Ref. Des.	Citation
	C4	M. Mohsenian, R. Rajagopalan, and C.A. Gonzales, "Single-pass constant- and variable-bit-rate MPEG-2 video compression," IBM J. Res. Develop., vol. 43, no. 4, July 1999, pp. 489-509
	C5	P.H. Westerink, R. Rajagopalan, and C.A. Gonzales, "Two-pass MPEG-2 variable-bit-rate encoding," IBM J. Res. Develop., vol. 43, no. 4, July 1999, pp. 471-488
	C6	Jill Boyce, John Henderson, and Larry Pearlstein, "An SDTV Decoder with HDTV Capability: An All-Format ATV Decoder," Hitachi America Ltd., file://C:Fatima\67.gif, pp. 67-75, published at least as early as 1/12/00
	C7	Boon-Lock Yeo, "On fast microscopic browsing of MPEG-compressed video," IBM T.J. Watson Research Center, Jan. 1998, Multimedia Systems 7, 1999, pp. 269-281
	C8	Robert Mokry and Dimitris Anastassiou, "Minimal Error Drift in Frequency Scalability for Motion-Compensated DCT Coding," IEEE TRANSACTIONS ON CIRCUITS AND SYSTEMS FOR VIDEO TECHNOLOGY, vol. 4, no. 4, Aug. 1994, pp. 392-406
	C9	C. Ward, C. Pecota, X. Lee, and G. Hughes, "Seamless Splicing for MPEG-2 Transport Stream Video Servers," SMPTE JOURNAL, December 1999, pp. 873-879
	C10	Norm Hurst and Katie Cornog, "MPEG Splicing: A New Standard for Television—SMPTE 312M," SMPTE JOURNAL, November 1998, pp. 978-988
	C11	Norm Hurst and Katie Cornog, "Splicing FAQ," http://www.mpeg.org/MPEG/splicing-FAW.html , 8 pages, published at least as early as 10/13/99
	C12	SMPTE 312M-1999, SMPTE Standard for Television, "Splice Points for MPEG-2 Transport Streams," Approved April 8, 1999, THE SOCIETY OF MOTION PICTURE AND TELEVISION ENGINEERS, White Plains, NY, 20 pages
	C13	"The Shape of MPEG," DV Magazine, vol. 4, no. 12, December 1996, http://livedv.com/Mag/Dec96/Contents/mpeg/mpeg.html , 5 pages, published at least as early as 10/13/99
	C14	"A Guide to MPEG Fundamentals and Protocol Analysis (Including DVB and ATSC)," Tektronix, Inc., Beaverton, Oregon, 1997, pp. 48 pages
	C15	Leonardo Chiariglione, "MPEG and multimedia communications," CSELT, Torino Italy, http://www.cselt.stet.it/ufv/leonardo/paper/isce96.htm , 50 pages, published at least as early as 10/13/99

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



Form PTO-1449 (modified)

Atty. Docket No.
10830.0071.NPUS00Serial No.
09/834,427

List of Patents and Publications for Applicant's

Applicant
Peter Bixby, et al.

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:
April 13, 2001Group:
2614U.S. Patent Documents
See Page 1Other Art
See Pages 1-5

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C16	Barry G. Haskell, Atul Puri, and Arun N. Netravali, <u>MPEG-2 Video Coding and Compression</u> , Chp. 8, pp. 156-182, and "Interactive Television," Chp. 13, pp. 292-306, DIGITAL VIDEO: AN INTRODUCTION TO MPEG-2, Chapman & Hall, New York, NY, 1997
	C17	"MPEG-2: The basics of how it works," Hewlett Packard, published at least as early as Oct. 31, 1999, 17 pages
	C18	Anil K. Jain, <u>Fundamentals of Digital Image Processing</u> , Prentice Hall, Inc., Englewood Cliffs, New Jersey, 1989, Chapter 4: Image Sampling and Quantization and Chapter 5: Image Transforms, pp. 80-188
	C19	"Information technology—Generic coding of moving pictures and associated audio information: Systems," International Standard, ISO/IEC 13818-1:1996(E), 136 pages
	C20	"Information technology—Generic coding of moving pictures and associated audio information: Video," International Standard, ISO/IEC 13818-2:1996(E), 211 pages
	C21	"Information technology—Generic coding of moving pictures and associated audio information—Part 3: Audio," International Standard, ISO/IEC 13818-3:1995(E), 118 pages
	C22	Jerry D. Gibson, Toby Berger, Tom Lookabaugh, Dave Lindbergh, and Richard L. Baker, <u>Digital Compression for Multimedia: Principles and Standards</u> , Morgan Kaufmann Publishers, Inc., San Francisco, CA, 1998, Chapter 9: JPEG Still-Image Compression Standard, pp. 291-308, and Chapter 11: MPEG Compression, pp. 363-417
	C23	Barry G. Haskell, Atul Puri, and Arun N. Netravali, Digital Video: An Introduction to MPEG-2, Chapman & Hall, New York, NY, 1997, pp. 1-279, 292-306, 369-421
	C24	Nilesh V. Patel and Ishwar K. Sethi, <u>Compressed Video Processing For Cut Detection</u> , Vision and Neural Networks Laboratory, Dept. of Computer Science, Wayne State University, Detroit, MI, October 1997, 26 pages
	C25	Nilesh V. Patel and Ishwar K. Sethi, <u>Video Shot Detection and Characterization for Video Databases</u> , Vision and Neural Networks Laboratory, Dept. of Computer Science, Wayne State University, Detroit, MI, October 1997, 22 pages
	C26	Bo Shen, Ishwar K. Sethi and Vasudev Bhaskaran, <u>DCT Convolution and Its Application In Compressed Video Editing</u> , Dept. of Computer Science, Wayne State University, Detroit, MI and Visual Computing Dept., Hewlett-Packard Laboratories, Palo Alto, CA, <i>To appear in SPIE VCDIP '97, also submitted to IEEE Trans. Cir. And Sys. For Video Tech.</i> , 11 pages

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



RECEIVED
AUG 16 2001
Technology Center 2600

Form PTO-1449 (modified)

Atty. Docket No.

10830.0071.NPUS00

Serial No.

09/834,427

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant

Peter Bixby, et al.

Filing Date:

April 13, 2001

Group:

2614

U.S. Patent Documents

See Page 1

Other Art

See Pages 1-5

RECEIVED
AUG 16 2001
Technology Center 2600

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C27	B. Shen and I.K. Sethi, <u>Convolution-Based Edge Detection for Image/Video in Block DCT Domain</u> , Vision & Neural Networks Laboratory, Dept. of Computer Science, Wayne State University, Detroit, MI, <i>To appear in Journal of Visual Communications and Image Representation</i> , 19 pages
	C28	Bo Shen and Ishwar K. Sethi, <u>Direct feature extraction from compressed images</u> , Vision and Neural Networks Laboratory, Dept. of Computer Science, Wayne State University, Detroit, MI, <i>SPIE vol. 2670, Storage & Retrieval for Image and Video Databases IV, 1996</i> , 12 pages
	C29	Bo Shen and Ishwar K. Sethi, <u>Block-Based Manipulations On Transform-Compressed Images and Videos</u> , Vision and Neural Networks Laboratory, Dept. of Computer Science, Wayne State University, Detroit, MI, <i>To appear in Multimedia Systems</i> , 26 pages
	C30	Bo Shen and Ishwar K. Sethi, <u>Inner-Block Operations On Compressed Images</u> , Vision and Neural Networks Laboratory, Dept. of Computer Science, Wayne State University, Detroit, MI, <i>ACM Multimedia '95, San Francisco, CA, Nov. 5-9, 1995</i> , 10 pages
	C31	Alexandros Eleftheriadis and Dimitris Anastassiou, <u>Constrained and General Dynamic Rate Shaping of Compressed Digital Video</u> , Dept. of Electrical Engineering and Center for Telecommunications Research, Columbia University, New York, NY, <i>Proceedings, 2nd IEEE International Conference on Image Processing (ICIP-95), Arlington, VA, October 1995</i> , 4 pages
	C32	Alexandros Eleftheriadis and Dimitris Anastassiou, <u>Optimal Data Partitioning of MPEG-2 Coded Video</u> , Dept. of Electrical Engineering and Center for Telecommunications Research, Columbia University, New York, NY, <i>Proceedings, 1st International Conference on Image Processing (ICIP-94), Austin, Texas, November 1994</i> , 5 pages
	C33	Andrew B. Watson, Joshua A. Solomon, Albert Ahumada, and Alan Gale, <u>DCT Basis Function Visibility: Effects of Viewing Distance and Contrast Masking</u> , (1994), 11 pages, in B.E. Rogowitz (Ed.), <i>Human Vision, Visual Processing and Digital Display IV</i> (pp.99-108), Billington, WA, SPIE
	C34	O'Reilly Network Broadcast 2000 Brings DV Editing to Linux (Aug. 11, 2000), http://www.oreillynet.com/pub/a/network/2000/08/11/magazine/broadcast2000.html , published at least as early as 3/27/01, 3 pages; Broadcast 2000, http://heroinewarrior.com/bcast2000.php3 , published at least as early as 3/27/01, 4 pages

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)

Atty. Docket No.
10830.0071.NPUS00Serial No.
09/834,427

List of Patents and Publications for Applicant's

Applicant
Peter Bixby, et al.

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:
April 13, 2001Group:
2614U.S. Patent Documents
See Page 1Other Art
See Pages 1-5

RECEIVED
AUG 16 2001
Technology Center 2600

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C35	MPEG Wizard: MPEG Real-Time External Encoder, http://www.duplexx.com/mpgwiz.html , MPEG Wizard: MPEG Real-Time Encoder – Features and Software, http://www.duplexx.com/mpgwiz_f.html , MPEG Wizard: MPEG Real-Time Encoder – Specs & Requirements, http://www.duplexx.com/mpgwiz_r.html , published at least as early as 3/19/01, 4 pages
	C36	Optivision MPEG-1 Encoder, http://brahma.imag.fr/Multimedia/jeudis/jeudi2/Optivision_mpeg1enc.html , published at least as early as 3/19/01, 3 pages
	C37	Adrienne Electronics Corporation – Home Page, http://www.adrielec.com/ , 1 page; Functional Grouping of LTC/VITC, VTR Interface, and Video Products, http://www.adrielec.com/listing.htm , 2 pages; Adrienne Electronics Products and Price Listings Catalog, http://www.adrielec.com/shortfor.htm , 8 pages; AEC-BOX-8/18/28 Standalone VITC and/or LTC Time Code Generator, http://www.adrielec.com/box28lit.htm , 4 pages; AEC-BOX-8/18/28 Standalone LTC/VITC Time Code Reader, http://www.adrielec.com/box20lit.htm , 5 pages, published at least as early as 3/15/01
	C38	National P/N CLC020 – SMPTE 259M Digital Video Serializer with Integrated Cable Driver, http://www.national.com/pf/CL/CLC020.html , published at least as early as 3/14/01, 3 pages
	C39	TE600 MPEG-2 DSNG Encoder, satellite uplink equipment, downlink, teleports, earth stations, amplifiers, antennas, http://www.usacanada.net/satellite/te600.htm , published at least as early as 3/14/01, 3 pages
	C40	TDR600/RA, satellite uplink equipment, downlink, teleports, earth stations, amplifiers, antennas, http://www.usacanada.net/satellite/tdr600-ra.htm , published at least as early as 3/14/01, 2 pages
	C41	TE300A MPEG-2 Encoder, satellite uplink equipment, downlink, teleports, earth stations, amplifiers, antennas, http://www.usacanada.net/satellite/te300a.htm , published at least as early as 3/14/01, 3 pages
	C42	TE-30, satellite uplink equipment, downlink, teleports, earth stations, amplifiers, antennas, http://www.usacanada.net/satellite/te30.htm , published at least as early as 3/14/01, 3 pages

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)